

The term IRA will be used below to mean Traditional IRA, Roth IRA, SEP IRA, SIMPLE IRA, Inherited Traditional IRA, and Inherited Roth IRA, unless otherwise specified.

VIP Services: (888) 855-9856 | info@trustprovident.com  
7450 Arroyo Crossing Parkway, Suite 230, Las Vegas, NV 89113

## Section 1 Account Owner Information

First Name  MI  Last Name

Email  Last Four Digits of Social Security Number

Account Number  Accepting Account Type  Traditional IRA  Roth IRA  SEP IRA  SIMPLE IRA  
 Inherited Traditional IRA  Inherited Roth IRA  Qualified Plan

## Section 2 Rollover Asset Information

I hereby irrevocably designate this contribution of the asset(s) below as a rollover contribution. In addition to this form, please submit required supporting documentation for your asset(s) showing updated titling for your asset.

Asset Description (i.e., Property Address, Policy ID, etc.)	Fair Market Value of Asset Rolled Over
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

## Section 3 Investment Contact Information

Please include contact information for the investment you are making.  
 Check here if this information is included on your investment documents.

Name (Company or Individual)

Address  City  State  Zip

Email

## Section 4 Account Owner Agreement and Authorization

I hereby irrevocably designate this contribution as a rollover contribution.

**X** \_\_\_\_\_  
Account Owner Signature Date (mm/dd/yyyy)

### Congratulations! You are now able to submit your completed request.

Before you submit this form please review all completed information prior to signing. When ready, mail, email, or fax this completed form to:

**Mail to:** Provident Trust Group  
P.O. Box 123  
Fort Washington, PA 19034

**Email to:** assets@trustprovident.com

**Fax to:** (702) 253-7565

## Rules and Conditions Applicable to Rollovers

### Rollovers between IRAs

**Timeliness.** The assets you receive from the distributing IRA generally must be deposited into another IRA within 60 calendar days.

**Required Minimum Distribution.** Distributions that represent required minimum distributions paid to an IRA owner or beneficiary may not be rolled over.

**Twelve-Month Restriction.** You are permitted to roll over only one distribution from an IRA (Traditional, Roth, SEP, or SIMPLE) in a 12-month period, regardless of the number of IRAs you own.

**SIMPLE IRA Rollover Restriction.** A SIMPLE IRA may not receive a rollover from a different type of IRA or be rolled over to a Traditional IRA within the first two years of the first contribution to the SIMPLE IRA.

### Direct or Indirect Rollover from an Employer-Sponsored Retirement Plan

**Eligible Person.** You are an eligible person only if you were or are a participant in an eligible plan, the surviving spouse beneficiary of a deceased participant, or the alternate payee (spouse or former spouse) identified in a qualified domestic relations order. A nonspouse beneficiary may roll over assets to an inherited Traditional IRA only as a direct rollover.

**Eligible Plan.** A distribution will not be eligible to be rolled over unless the distribution is made from an eligible employer-sponsored retirement plan. A rollover contribution must be from one of the following eligible employer-sponsored retirement plans: qualified retirement plan (Internal Revenue Code Section (IRC Sec.) 401(a) (e.g., 401(k), profit sharing, money purchase pension)), annuity plan (IRC Sec. 403(a)), tax-sheltered annuity plan (IRC Sec. 403(b)), governmental deferred compensation plan (IRC Sec. 457(b)), or federal Thrift Savings Plan.

**Ineligible Rollover Distributions.** The following types of distributions are ineligible for rollover.

- Required minimum distributions
- Distributions that are part of a series of substantially equal periodic payments (made over single or joint life expectancy or for a specified period of 10 or more years)
- Hardship distributions
- Returns of 401(k) elective deferrals because of the IRC Sec. 415 allocation limitations
- Returns of excess contributions and excess aggregate contributions from a 401(k) or 401(m) plan
- Returns of excess deferrals (i.e., amounts that exceed the deferral limit)
- Plan loan amounts that are treated as distributions because of a default or because the loan does not meet the IRC Sec. 72(p) requirements
- Dividends paid on employer securities as described in IRC Sec. 404(k)
- PS 58 costs (associated with life insurance coverage)
- Permissible withdrawals from eligible automatic contribution arrangements (generally within 90 days of the first automatic contribution)
- Designated Roth account contributions (these contributions may be rolled over only to a Roth IRA)

**Timeliness.** If payable to you, the assets you receive from the distributing plan generally must be deposited into a Traditional IRA within 60 calendar days.