

Success Through Collaboration



The Ascensus DCIO Alliance Partner Program

Together, Ascensus and our defined contribution investment only (DCIO) partners offer retirement plan advisors and their clients an unbeatable resource: a team with deep expertise in investments, fiduciary and compliance support, plan design, administrative services, and participant education—and an understanding of how these essential components work together.

A cohesive partnership enhances our capabilities, solutions, and opportunities for collaboration with our partners and advisors. Ongoing consultation with each other—and with leading advisors—is essential for transforming collaboration into success.

2025 Ascensus DCIO Alliance Partner Program Levels

Ascensus offers three exclusive levels of DCIO Alliance Partner Program participation. Each level provides opportunities to deepen relationships with Ascensus sales and leadership teams, as well as with our most valued advisors.



See next page for benefits at each level.

Whatever your goal may be, we are now better positioned than ever to help you get there.



Largest independent recordkeeping services provider in the industry¹



Top 5 provider
in DC plans serviced²



#1 in DC plan assets
under \$25 million²



Great Net Promoter Scores
+22 overall client satisfaction for financial advisors³

153,700+ retirement plans

1.7+M IRAs administered

7.2+M 529 accounts administered

\$818+B in assets under administration

As of June 30, 2024.

¹Cerulli Associates. The Cerulli Report: U.S. Retirement Markets 2023. December 2023.

²Based on The Cerulli Report: U.S. Retirement Markets 2023 and aggregating the Ascensus and Newport books to reflect that we are now a unified entity.

³Ascensus NPS data, June 30, 2024.

2025 Ascensus DCIO Alliance Partner Opportunities

	Premier	Platinum	Platinum Elite
	\$40,000	\$65,000	\$90,000
Circle of Excellence (March 27-31, 2025)			✓
Strategic planning session with Ascensus leadership			✓
DCIO Day ¹		✓ (1 attendee)	✓ (2 attendees)
National Sales Conference (January 13-15, 2025)		✓ (1 attendee)	✓ (2 attendees)
Mid-Year Sales Meeting (Date TBD)		✓ (1 attendee)	✓ (2 attendees)
Elite Partner Experience (Date TBD)		✓ (1 attendee)	✓ (2 attendees)
Elite Advisor list		✓	✓
Invitation to be a featured speaker/panelist at one National Sales Conference or call		✓	✓
Opportunity to meet with internal sales consultants and/or strategic business consultants		✓	✓
Monthly sales reporting through Broadridge	✓	✓	✓
Large trade report (monthly)	✓	✓	✓

Platform Connectivity Fee

As an added DCIO Alliance Partner benefit, firms that participate at any level of the program will be exempt from the partner connectivity fee—\$750 per eligible CUSIP, which includes all mutual fund, CIT, and ETF mandates.²

¹DCIO Day will be held the afternoon prior to the National Sales Conference kick-off.

²Firms that elect not to participate at any level of the program or pay eligible CUSIP fees may have investments removed from Ascensus and Ascensus-partner offers for new plan sales.

Business Planning, Relationship Building, and Market Insights



Ascensus Circle of Excellence

This is a recognition trip for top performers. Members of Ascensus' executive leadership team are also in attendance. You will receive invitations for one firm representative and a guest to all group activities, all group meals and social events, and a speaking spot during the business meeting.



Elite Partner Experience

Top retirement plan advisors, third-party administrators (TPAs), and representatives from key broker-dealer and RIA partners gather for highly engaging and interactive sessions and knowledge-sharing. Attendees include advisors and TPAs qualifying for Elite Advisor status, Ascensus executive leadership, and the Ascensus sales and business development teams. Participation includes attendance in all business sessions and receptions.



DCIO Day

Spend exclusive time with the Ascensus internal sales and strategic business consultant teams immediately prior to the 2025 National Sales Conference. Participate in roundtable discussions that foster relationship building and highlight valuable tools and resources.



National Sales Conference

Work with the Ascensus sales and business development teams as we set our agenda for the year. Take part in general sessions, roundtable discussions, and business-building meetings while building your network.



Mid-Year Sales Meeting

This mid-year meeting convenes the Ascensus sales and business development teams and executive leadership to review progress toward goals and initiatives. It includes general sessions and participation in roundtable discussions.



Advisor sales reporting

Gain valuable insights segmented by advisor, region, assets, and more, to help you focus your efforts and better leverage ours.



Structured collaboration with Ascensus sales teams

Learn about and leverage the activities of our regional vice presidents and internal sales consultants, from call campaigns to product and partner sprints. Build relationships and benefit from unique market perspectives.

Contact us today.

Through partnership and collaboration, we can continue to achieve success. Let's get there together. For more information on these opportunities, please contact Melisa Berg.



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